

Initial application form



The Trust Company (RE Services) Limited (ABN 45 003 278 831) | Orca Funds Management (ACN 619 080 045)

This Initial Application Form relates to a Product Disclosure Statement dated 30 September 2022 (“PDS”) and issued by The Trust Company (RE Services) Limited ABN 45 003 278 831 AFSL 235150, for the offer of units in the following Funds:

- Orca Global Disruption Fund (**Global Disruption Fund**) (ARSN 619 350 042)

Terms defined in the PDS of each Fund has the same meaning in this Initial Application Form. The PDS of each Fund contains important information about investing in the Funds, and you are advised to read the PDS before completing this Initial Application Form.

If you are an existing Unitholder(s) and this is an additional investment, please use the Additional Investment Form.

If you are a new investor, or if you are an existing Unitholder(s) and this investment is NOT in the same name(s) and fund(s) as your existing account, please complete the sections of this Initial Application Form and the identification Forms noted below in Section 1. If you have not been provided with the identification form with this application you can obtain this at www.orcafunds.com.au.

1. Investor type

Please complete the required identification form and provide certified copies of the identification requested on the identification form.

Investor type		Complete sections	Identification form
Individual and joint investors	A natural person or persons	2, 4, 5, 6, 7 & 8	Form A – Individuals
Sole trader	A natural person operating a business under their own name with a registered business name.	3, 4, 5, 6, 7 & 8	Form A – Individuals
Companies	A company registered as an Australian public company or an Australian proprietary company, or a foreign company.	3, 4, 5, 6, 7 & 8	For a Company complete the relevant form based on company type either Forms B or C. All Beneficial Owners named on Form B or C must complete Form A.
Trusts	Types of trusts include self-managed superannuation funds, registered managed investment schemes, unregistered wholesale managed investment schemes, government superannuation funds or other trusts (such as family trusts and charitable trusts).	3, 4, 5, 6, 7 & 8	For the Trust complete either Form D or E; and for an Individual Trustee complete Form A; or for a Company Trustee complete Form B or C. All Beneficial Owners named on Form D or E must complete Form A.
Partnership	A partnership created under a partnership agreement.	3, 4, 5, 6, 7 & 8	For the Partnership please complete Form F. All Beneficial Owners named on Form F must complete Form A.
Associations	Incorporated associations are associations registered under State or Territory based incorporated association statutes. Unincorporated associations are those of persons who are not registered under an incorporated associations statute and thus do not have the legal capacity to enter into agreements.	3, 4, 5, 6, 7 & 8	For the Association please complete Form G. All Beneficial Owners named on Form G must complete Form A.

Initial application form



Investor type		Complete sections	Identification form
Registered co-operative	An autonomous association of persons united voluntarily to meet common economic, social and cultural needs and aspirations through a jointly-owned and democratically-controlled enterprise registered under a registry system maintained by a State or Territory. This investor type can include agricultural businesses such as a dairy co-operative.	3, 4, 5, 6, 7 & 8	For the Registered co-operative please complete Form H. All Beneficial Owners named on Form H must complete Form A.
Government body	The government of a country, an agency or authority of the government of a country, the government of part of a country or an agency or authority of the government of part of a country.	3, 4, 5, 6, 7 & 8	For a Government body please complete Form I. All Beneficial Owners named on Form i must complete Form A.

2. Individuals and joint account holders investor details

	Applicant 1	Applicant 2
Investor type	Individual	Individual
Title		
Given name / surname		
Occupation		
Australian Tax File Number		
Residential address		
Street address 1		
Street address 2		
Suburb / State / Postcode		
Country		
Postal address <i>(if different to residential address)</i>		
Street address 1		
Street address 2		
Suburb / State / Postcode		
Country		
Phone number <i>(business hours)</i>		
Phone number <i>(non-business hours)</i>		
Mobile number		
Email address		

Initial application form



	Applicant 1	Applicant 2
Preferred contact method	<p>I consent to receive all investor correspondence from you by email to the email address provided.</p> <p>I wish to receive all investor correspondence by post to the address provided on this Application Form.</p> <p>I nominate my financial advisor as noted in section 6 to receive all investor correspondence.</p>	<p>I consent to receive all investor correspondence from you by email to the email address provided.</p> <p>I wish to receive all investor correspondence by post to the address provided on this Application Form.</p> <p>I nominate my financial advisor as noted in section 6 to receive all investor correspondence.</p>

3. All other account holders investor details

Investor type / capacity	<div>Company</div> <div>Sole trader</div> <div>Trust</div> <div>Partnership</div>	<div>Association</div> <div>Co-operative</div> <div>Government body</div> <div>Other</div>
Full name of Company / Business if Sole Trader / Trust (including Trustee details) / Partnership / Association / Cooperative/ Government Body		
Australian Tax File Number		
ABN (if applicable)		
Principle business activity		
Address		
Street address 1		
Street address 2		
Suburb / State / Postcode		
Country		
Phone number (business hours)		
Mobile number		
Fax number		
Email address		
Preferred contact method	<div>I consent to receive all investor correspondence from you by email to the email address provided.</div> <div>I wish to receive all investor correspondence by post to the address provided on this Application Form.</div>	

Initial application form



4. Authorised representative details

Complete this section if you wish to appoint a person to act in a legal capacity as your authorised representative and to operate your investment in the Fund on your behalf. In general, an authorised representative can do everything you can do with your investment, except appoint another authorised representative.

We may act on the sole instructions of the authorised representative until you advise us in writing that the appointment of your authorised representative has terminated. We may also terminate or vary an appointment of an authorised representative by giving you 14 days prior notice.

If an authorised representative is a partnership or a company, any one of the partners or any Director of the company is individually deemed to have the powers of the authorised representative.

Please attach a certified copy of your Power of Attorney.

For information on how to certify your document please refer to the Certification Information Sheet.

Given name / Surname

Signature of authorised representative

Date

5. Investment details

	ARSN	Application amount
Global Disruption Fund	619 350 042	\$

Investment amounts are subject to minimums as per the PDS of each Fund.

Source of funds being invested (*choose most relevant*)

Retirement income

Employment income

Business activities

Sale of assets

Inheritance / gifts

Financial investments

Other

Payment method (*details below*)

Direct credit / Electronic funds transfer

Initial application form



Payment details

Disruption Fund

Account name Boardroom Pty Limited ITF Orca Global Disruption Fund – Applications a/c

BSB 332-027

Account number 555 651 552

Distribution payment instructions
(choose one payment instruction)

Please reinvest my distributions in
the relevant Fund

Please pay my distributions directly to
my nominated bank account

Your Distribution bank account details

Bank:

Account Name:

BSB:

Account Number:

If you wish to have a separate bank account
for Redemption payments please fill
the below.

Your Redemption bank account details

Bank:

Account Name:

BSB:

Account Number:

Initial application form



6. Financial advisor details

By filling out this section you nominate and consent the named Financial Advisor access to your information.

Dealer Group details

Name of Advisory firm

Name of Dealer group

AFSL or AFSL representative number

Address

Street address 1

Street address 2

Suburb / State / Postcode

Country

Phone number

(business hours)

Mobile number

Fax number

Email address

If you have elected you financial advisor to receive all investor correspondence, please confirm the financial advisors preferred contact method.

I consent to receive all investor correspondence from you by email to the email address provided in section 6.

I wish to receive all investor correspondence by post to the address provided in section 6.

Adviser details

Full name

Position

Address

Street address 1

Street address 2

Suburb / State / Postcode

Country

Phone number

Fax number

Mobile number

Email address

Initial application form



7. Declaration

I/we declare and agree each of the following:

- I/we have read the current PDS to which this application applies and have received and accepted the offer in it.
- My/our application is true and correct.
- I am/we are bound by any terms and conditions contained in the current PDS and the provisions of the constitution of the Fund as amended from time to time.
- I/we have legal power to invest.
- If this is a joint application, each of us agrees that our investment is as joint tenants. Each of us is able to operate the account and bind the other to any transaction, including investments or withdrawals by any available method.
- If investing as trustee on behalf of a super fund or trust, I/we confirm that I am/we are acting in accordance with my/our designated powers and authority under the relevant trust deed. In the case of a super fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993.
- I/we acknowledge that none of The Trust Company (RE Services) Limited ABN 45 003 278 831 or any of their related entities, officers or employees or any related company or any of the appointed service providers including the investment manager and custodian guarantee the repayment of capital or the performance of the Fund or of any particular rate of return by the Fund.
- I/we agree to the anti-money laundering and counter-terrorism financing statements contained in the PDS. I/we agree to provide further information or personal details to The Trust Company (RE Services) Limited and the custodian if required to meet their obligations under any anti- money laundering and counter-terrorism law and regulations, and acknowledge that processing or my/our application may be delayed and will be processed at the unit price applicable for the business day on which all required information has been received and verified.
- I/we have read and understood the privacy disclosure as detailed in the PDS. I/we consent to my/our personal information being collected, held, used and disclosed in accordance with the privacy disclosure. I/we consent to The Trust Company (RE Services) Limited disclosing this information to my/our financial adviser (named in this form) for units in the Fund. Where the financial adviser no longer acts on my/our behalf, I/we will notify The Trust Company (RE Services) Limited of the change.
- If I/we have appointed an authorised representative, I/we release, discharge and indemnify The Trust Company (RE Services) Limited from any loss, expense, action or other liability which may be suffered by, brought against me/us or The Trust Company (RE Services) Limited for any action or omissions by the authorised representative whether authorised by me/us or not.
- If I/we have appointed a financial adviser, payment to the financial adviser of the amount stated in section 6, which includes any amounts invested under the Savings Plan.
- I/we certify that the information provided in the separate ID forms, including information relating to tax-related requirements, is reasonable based on verifiable documentation.

I/we declare and agree each of the following:

- The Trust Company (RE Services) Limited may be required to pass on my/our personal information or information about my/our investment to the relevant regulatory authorities, including for compliance with anti-Money laundering and counter-terrorism law and regulations as well as any tax-related requirements for tax residents of other countries.

Initial application form



8. Signatures

Joint applicants must both sign. For Individual Trustee Trust/Superannuation Funds each individual Trustee must sign. For Corporate Trustee Trust/Superannuation Funds 2 Directors, a Director and Secretary or Sole Director must sign.

Investor 1

Signature	Full name	Date
Tick capacity (<i>mandatory for companies</i>)		
Sole Director and Company Secretary	Non-Corporate Trustee	
Director	Partner	
Secretary		

Investor 2

Signature	Full name	Date
Tick capacity (<i>mandatory for companies</i>)		
Director	Non-Corporate Trustee	
Secretary	Partner	

Post your original signed Initial Application Form, Identification Forms and certified copies of your identification required to:

Boardroom Pty Limited
GPO Box 3993
Sydney NSW 2001

Or email: orcafunds@boardroomlimited.com.au

Please ensure that you have transferred your Application Monies.

For further information, please contact Boardroom Pty Limited (ABN 14 003 209 836) via:

Phone: 1300 737 880
Email: orcafunds@boardroomlimited.com.au
Website: <https://boardroomlimited.com.au/>